
Document 6961

2009 Update

*Calendar Year Projections of Information and
Withholding Documents
for the United States and IRS Campuses*

2009-2017



*Office of Research
Research, Analysis, and Statistics*

Document 6961 (revised 07-2009) is a product of the IRS Office of Research, within the Research, Analysis and Statistics organization.

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Forecasts Available Electronically

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Calendar Year Projections of
Information and Withholding Documents
for the United States and IRS Campuses:
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Overview

Office of Research staff within the Research, Analysis and Statistics organization provides calendar year projections of information and withholding documents on an annual basis to accommodate more recent filing experience. These projections of Information Reporting Program (IRP) documents also incorporate current legislative and administrative initiatives, as well as relevant economic and demographic trends. Forecasts of economic/demographic data used to develop some of these information document projections are provided by Global Insight.

The information and withholding document projections contained within this publication are used primarily by the IRS operational and resource planning functions to assist in the formulation of their budget submissions and staffing requirements, and to complete various other analyses. Enacted tax law changes and confirmed administrative plans are reflected in these projections. However, legislative or administrative initiatives simply under consideration are generally not used to adjust the projections due to the uncertain nature of their eventual outcome.

A comparison of projections for calendar (filing) year 2010 made in the 2008 version of this document versus the current update is contained in Table 1. This table compares both the “all media grand total” and “paper” components. Table 2 contains the U.S. level projections through calendar year (CY) 2017 for the grand total volumes for all media. The U.S. forecasts for the “paper” segment are provided in Table 3, while Table 4 presents the “non-paper” component.

Detailed breakouts for the paper component at the IRS processing campus level are provided in Tables 5A through 5D. The current alignment of states by IRS processing campus for paper Schedules K-1 and Form 1042-S (international) is presented in Table 6. Table 7 contains the current alignment of states by processing campus for the other paper documents. The listed alignments are reflected at the campus level projections for the entire forecast horizon, although changes may occur in the future. Subsequent to the alignment tables, we have provided definitions of the IRP forms projected, as well as the methodology we used to forecast these items.

Form 1099-B, Proceeds from Broker and Barter Exchange Transactions

During calendar year 2009, data indicate that the IRS received a significant volume of Form 1099-B. Due to the extremely high reporting, the estimate for calendar year 2009 may not include all Form 1099-B volume that are traditionally part of the processing system. The long term forecast incorporates the marginal impact caused by this dramatic increase and the uncertainty around the characteristics of this emerging filing pattern, as well as the procedures for processing such high volume through the existing pipeline system.

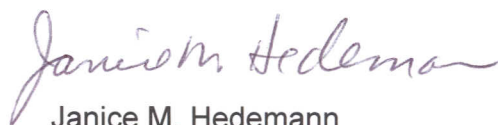
Revisions to Calendar Year 2010 Forecasts Relative to Forecasts Produced Last Year

For calendar year (i.e., filing year) 2010, the grand total number of information and withholding documents projected in this update is about 3.2 percent (64 million forms) lower than the total volume projected last year (see Table 1, left side). A major portion of the net revision is driven by the decrease in the projections of Form 1099-B (i.e., 12.2 million less) and Form 1099-INT (i.e., 36 million less). These downward revisions are in response to the actual CY 2008 and year to date (mid-June) CY 2009 filing experience.

For paper submissions of IRP documents, the CY 2010 estimate is about 3.6 percent (i.e., 2.1 million forms) less than the paper volumes projected in last year's update of this document (Table 1, right side). Embedded in this net change for paper volumes is a decrease of approximately 2.2 million returns in the forecast of paper Form 1099-MISC and a 0.3 million increase for Form 1120-S Schedule K-1. These results too are based on actual CY 2008, year to date CY 2009 filing experience and the economic outlook affecting filing year 2010.

Additional Comments

We continually seek to improve customer service whenever we can and we welcome customer feedback at any time. Comments and suggestions regarding this document can be directed to John Guyton, Chief, Forecasting and Service Analysis Group at (202) 874-0607. Questions concerning a specific tax return listed in this document may also be directed to the projections staff listed on the inside front cover. Finally, the tables contained in this document are available electronically, as noted on the inside front cover.



Janice M. Hedemann
Director, Office of Research

Table 1. Comparison of Projections Made in 2008 vs 2009 for Calendar Year 2010:
All Media Grand Total and Paper Only (Data in thousands)

Form	All Media Grand Total				Paper Only			
	2010 Projections		Net Change	Percent Change	2010 Projections		Net Change	Percent Change
	Made in 2008	Made in 2009*			Made in 2008	Made in 2009*		
Grand Total	1,995,724	1,931,737	(63,987)	-3.2%	59,427	57,308	(2,119)	-3.6%
Total	264,728	262,021	(2,707)	-1.0%	70	99	29	41.5%
W-2	251,396	248,425	(2,971)	-1.2%	0	0	0	n.a
W-2G	13,332	13,596	264	2.0%	70	99	29	41.5%
Total	1,730,997	1,669,716	(61,281)	-3.5%	59,942	57,209	(2,733)	-4.6%
K-1 (Form 1041)	3,203	3,236	33	1.0%	2,560	2,513	(48)	-1.9%
K-1 (Form 1065)	18,486	21,704	3,218	17.4%	9,715	9,612	(103)	-1.1%
K-1 (Form 1120-S)	7,325	7,960	635	8.7%	3,949	4,258	309	7.8%
Foreign	1,261	1,234	(27)	-2.1%	0	0	0	n.a
SSA/RRB-1099	56,550	55,957	(593)	-1.1%	0	0	0	n.a
1096	5,586	5,692	106	1.9%	5,586	5,692	106	1.9%
1042-S	4,910	3,835	(1,075)	-21.9%	163	203	40	24.6%
1098	108,064	108,699	634	0.6%	613	585	(28)	-4.6%
1098-C	241	209	(32)	-13.3%	41	47	6	16.0%
1098-E	23,262	22,308	(954)	-4.1%	10	8	(2)	-21.0%
1098-T	25,853	25,877	24	0.1%	189	189	0	0.1%
1099-A	664	665	2	0.3%	4	4	0	0.6%
1099-B	714,786	702,587	(12,198)	-1.7%	60	57	(3)	-5.4%
1099-C	2,198	2,683	486	22.1%	36	43	8	21.8%
1099-CAP	3	2	(1)	-38.4%	1	1	0	-24.7%
1099-DIV	109,011	98,745	(10,267)	-9.4%	404	413	9	2.1%
1099-G	74,592	67,511	(7,081)	-9.5%	48	48	0	0.5%
1099-H	23	20	(3)	-12.2%	0	0	0	n.a
1099-INT	269,176	233,201	(35,975)	-13.4%	1,040	919	(121)	-11.7%
1099-LTC	223	219	(5)	-2.1%	1	1	0	11.4%
1099-MISC	86,410	83,910	(2,500)	-2.9%	33,555	31,386	(2,169)	-6.5%
1099-OID	3,730	2,097	(1,633)	-43.8%	5	8	3	56.9%
1099-PATR	1,525	1,792	267	17.5%	39	43	4	10.1%
1099-Q	1,461	1,705	244	16.7%	1	1	0	11.0%
1099-R	82,494	87,090	4,596	5.6%	570	506	(63)	-11.1%
1099-S	3,919	2,826	(1,094)	-27.9%	748	654	(93)	-12.4%
1099-SA	2,349	2,833	484	20.6%	1	2	1	35.9%
5498	119,805	120,579	774	0.7%	17	14	(3)	-18.9%
5498-ESA	804	764	(40)	-5.0%	0	0	0	31.3%
5498-SA	3,082	3,775	694	22.5%	2	3	1	64.0%

Notes:

Negative values (numbers in parentheses) indicate projections that have been lowered.

Detail may not add to total due to rounding.

Table does not contain counts for Forms 5471, 5472, 8027.

Currency Transaction Reports (CTRs) are not included in Table 1.

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Table 2. Projections of Information and Withholding Documents
United States All Media Grand Total: Calendar Years 2009 to 2017

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Grand Total (1)	2,091,372,288	2,103,877,100	1,931,736,800	1,976,869,500	2,023,626,400	2,070,125,500	2,116,089,100	2,161,600,900	2,206,941,300	2,252,092,600
Subtotal	255,196,110	260,217,600	262,021,100	264,302,300	267,266,100	270,122,600	273,170,400	276,070,100	278,946,100	281,839,700
W-2	244,122,576	247,069,400	248,425,000	249,985,800	252,239,700	254,493,500	256,747,300	259,001,200	261,255,000	263,508,800
W-2G	11,073,534	13,148,200	13,596,200	14,316,400	15,026,400	15,629,100	16,423,100	17,069,000	17,691,100	18,330,900
Subtotal	1,836,176,178	1,843,659,500	1,669,715,700	1,712,567,200	1,756,360,300	1,800,003,000	1,842,918,600	1,885,530,800	1,927,995,300	1,970,252,800
K-1 (Form 1041)	3,263,731	3,228,500	3,236,400	3,255,300	3,277,200	3,294,500	3,310,500	3,328,700	3,347,700	3,367,100
K-1 (Form 1065)	19,825,354	20,966,900	21,704,400	22,358,800	22,939,600	23,455,000	23,912,400	24,318,200	24,678,500	24,998,100
K-1 (Form 1120-S)	6,997,744	7,713,900	7,959,800	8,205,800	8,451,800	8,697,800	8,906,900	9,084,700	9,235,800	9,371,700
Foreign	1,200,329	1,217,300	1,234,300	1,251,300	1,268,300	1,285,200	1,302,200	1,319,200	1,336,200	1,353,200
SSA/RRB-1099	53,351,390	55,166,200	55,957,300	56,748,400	57,539,600	58,330,700	59,121,800	59,913,000	60,704,100	61,495,300
1096	5,612,410	5,652,300	5,692,200	5,732,100	5,772,000	5,811,900	5,851,800	5,891,700	5,931,600	5,971,500
1042-S	3,647,034	3,739,700	3,834,900	3,932,600	4,033,000	4,136,000	4,241,800	4,350,400	4,461,900	4,576,500
1098	102,855,644	105,641,800	108,698,800	111,813,200	114,945,800	118,077,500	121,202,900	124,324,200	127,444,700	130,565,600
1098-C	179,203	194,400	209,200	223,300	236,500	248,500	259,100	267,800	274,600	281,600
1098-E	20,213,382	20,926,000	22,307,700	23,738,200	25,117,100	26,494,700	27,820,600	29,195,400	30,568,800	31,804,800
1098-T	24,990,830	25,433,900	25,876,900	26,319,500	26,761,600	27,203,200	27,644,400	28,085,200	28,525,500	28,921,900
1099-A	873,081	1,856,300	665,200	703,300	741,500	779,600	817,700	855,800	893,900	932,000
1099-B *	869,038,186	875,940,100	702,587,200	733,234,300	763,881,400	794,528,500	825,175,600	855,822,700	886,469,800	917,116,900
1099-C	1,987,035	2,521,900	2,683,400	2,764,700	2,875,900	2,998,000	3,121,500	3,242,200	3,364,300	3,482,300
1099-CAP	1,644	1,800	1,800	1,800	1,800	1,800	1,800	1,800	1,800	1,800
1099-DIV	102,062,405	98,745,900	98,744,500	98,595,200	98,402,500	98,216,400	98,051,100	97,900,500	97,755,200	97,612,300
1099-G	70,404,918	67,117,200	67,511,200	68,098,200	68,730,800	69,339,300	69,904,600	70,436,500	70,949,100	71,447,700
1099-H	22,388	21,000	20,400	20,200	20,300	20,400	20,600	20,800	20,900	21,000
1099-INT	256,614,758	244,837,200	233,201,000	231,890,500	231,403,500	230,971,700	230,365,200	229,666,800	229,005,800	228,419,100
1099-LTC	197,642	207,700	218,600	228,300	237,100	245,000	252,100	258,500	264,200	269,400
1099-MISC	85,485,141	84,657,800	83,909,800	84,215,000	84,752,100	85,412,200	86,037,200	86,705,400	87,350,300	87,987,100
1099-OID	2,977,764	2,390,300	2,096,600	1,888,900	1,742,000	1,638,200	1,564,700	1,512,800	1,476,100	1,450,100
1099-PATR	1,688,275	1,745,000	1,791,900	1,834,700	1,873,700	1,909,400	1,942,100	1,972,000	1,999,400	2,024,600
1099-Q	1,285,480	1,518,800	1,705,500	1,872,500	2,021,800	2,155,400	2,274,900	2,381,700	2,477,300	2,562,800
1099-R	79,053,437	85,564,700	87,090,300	89,031,800	91,103,700	93,169,900	95,190,400	97,181,200	99,166,600	101,154,100
1099-S	3,414,814	2,994,000	2,825,600	2,878,500	3,050,200	3,239,600	3,328,000	3,389,100	3,438,500	3,505,700
1099-SA	1,594,950	2,246,400	2,832,700	3,360,400	3,835,400	4,262,800	4,647,500	4,993,700	5,305,300	5,585,700
5498	114,343,458	117,631,100	120,578,700	123,176,700	125,539,800	127,727,500	129,805,000	131,824,100	133,863,700	135,972,600
5498-ESA	813,714	784,500	764,000	749,500	738,200	730,500	724,100	718,600	713,000	707,700
5498-SA	2,180,037	2,996,800	3,775,300	4,444,200	5,066,300	5,621,800	6,120,400	6,568,300	6,970,600	7,292,800
Currency Transaction Reports (2)	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total CTR	16,074,969	16,224,700	16,463,200	16,722,200	16,969,500	17,207,400	17,437,700	17,662,000	17,881,500	18,099,000
FinCEN 104 (formerly 4789)	15,449,549	15,640,300	15,831,000	16,021,800	16,212,500	16,403,300	16,594,000	16,784,800	16,975,500	17,166,300
8300	173,345	195,100	204,600	214,000	223,500	232,900	242,400	251,900	261,300	270,800
FinCEN 103	452,075	389,300	427,600	486,400	533,500	571,100	601,300	625,300	644,600	662,000

Notes:

(1) Grand Total includes Form 1096 which is a "payer" transmittal document used to transmit "payee" documents.

(2) Currency Transaction Report volumes are listed separately and not included in Grand Total.

Table does not contain counts for Forms 5471, 5472, 8027.

* For CY09, the estimate may not include all Form 1099-B volume, traditionally part of the processing system, due to extremely high reportings.

Detail may not add to total due to rounding.

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Table 3. Projections of Information and Withholding Documents
United States Paper Total: Calendar Years 2009 to 2017

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Paper (1)	60,281,588	58,900,900	57,308,100	56,595,500	56,076,600	55,696,000	55,346,700	55,049,800	54,764,400	54,499,000
W-2	0	0	0	0	0	0	0	0	0	0
W-2G	83,731	97,600	99,200	102,900	106,500	109,300	113,500	116,700	119,700	122,900
Subtotal, Schedule K-1	17,648,839	17,293,100	16,383,200	15,935,600	15,577,000	15,297,000	15,047,200	14,823,100	14,606,800	14,407,200
K-1 (Form 1041)	2,519,298	2,515,000	2,512,500	2,506,900	2,500,600	2,495,800	2,491,500	2,486,600	2,481,700	2,476,900
K-1 (Form 1065)	9,877,047	9,827,600	9,612,300	9,504,600	9,399,400	9,297,400	9,199,100	9,104,900	9,015,000	8,929,600
K-1 (Form 1120-S)	5,252,494	4,950,500	4,258,300	3,924,200	3,677,000	3,503,900	3,356,700	3,231,600	3,110,100	3,000,800
Foreign	0	0	0	0	0	0	0	0	0	0
SSA/RRB-1099	0	0	0	0	0	0	0	0	0	0
1096	5,612,410	5,652,300	5,692,200	5,732,100	5,772,000	5,811,900	5,851,800	5,891,700	5,931,600	5,971,500
Subtotal, Scannable Forms	36,936,608	35,857,900	35,133,500	34,825,000	34,621,200	34,477,900	34,334,300	34,218,300	34,106,300	33,997,400
1042-S	196,078	198,200	203,200	208,400	213,700	219,200	224,800	230,600	236,500	242,600
1098	623,385	603,500	584,500	566,400	549,100	532,400	516,400	501,000	486,200	471,900
1098-C	45,133	46,100	47,100	48,100	48,600	49,100	49,600	50,000	50,500	51,000
1098-E	9,941	8,400	7,600	6,800	6,300	6,100	6,000	6,000	6,000	6,000
1098-T	223,437	204,200	189,100	176,600	166,900	159,600	153,500	148,900	147,100	142,700
1099-A	4,110	4,100	4,100	4,000	4,000	3,900	3,700	3,500	3,400	3,200
1099-B	62,100	59,500	57,100	54,800	52,400	50,000	47,600	45,300	42,900	40,500
1099-C	37,561	43,900	43,200	40,900	39,400	37,800	36,200	34,900	33,500	32,100
1099-CAP	941	800	800	800	800	800	800	800	800	800
1099-DIV	432,036	422,000	412,500	403,500	394,800	386,500	378,600	370,900	363,600	356,500
1099-G	48,884	48,400	47,800	47,400	46,900	46,500	46,000	45,600	45,200	44,900
1099-H	20	0	0	0	0	0	0	0	0	0
1099-INT	1,033,421	974,900	919,100	865,700	814,600	765,700	718,600	673,300	629,700	587,600
1099-LTC	1,114	1,100	1,100	1,100	1,100	1,100	1,100	1,100	1,100	1,100
1099-MISC	32,889,841	31,954,200	31,385,800	31,226,200	31,162,200	31,151,000	31,133,500	31,137,700	31,139,000	31,142,500
1099-OID	8,494	7,800	7,500	7,300	7,000	6,700	6,400	6,100	5,900	5,600
1099-PATR	44,813	43,200	42,600	41,800	41,100	40,300	39,600	38,900	38,100	37,400
1099-Q	555	600	600	600	600	600	600	600	600	600
1099-R	589,065	546,600	506,300	468,000	431,400	396,500	363,100	331,000	300,200	270,600
1099-S	663,538	670,400	654,500	638,600	622,700	606,900	591,000	575,100	559,200	543,300
1099-SA	1,902	1,900	1,900	1,900	1,900	1,900	1,900	1,900	1,900	1,900
5498	16,830	14,800	13,500	12,800	12,300	12,000	11,800	11,700	11,600	11,600
5498-ESA	294	300	300	300	300	300	300	300	300	300
5498-SA	3,115	3,100	3,100	3,100	3,100	3,100	3,100	3,100	3,100	3,100
Currency Transaction Reports (2)	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total CTR, Paper	3,096,559	2,814,600	2,707,600	2,672,700	2,664,200	2,668,900	2,680,100	2,694,000	2,708,700	2,724,300
FinCEN 104 (formerly 4789)	2,589,906	2,371,300	2,256,000	2,195,200	2,163,200	2,146,300	2,137,400	2,132,700	2,130,200	2,128,900
8300	173,345	195,100	204,600	214,000	223,500	232,900	242,400	251,900	261,300	270,800
FinCEN 103	333,308	248,200	247,000	263,500	277,500	289,700	300,300	309,400	317,200	324,600

Notes:

(1) Total Paper includes Form 1096 which is a "payer" transmittal document used to transmit "payee" documents.

(2) Currency Transaction Report volumes are listed separately and not included in Total Paper.

Table does not contain counts for Forms 5471, 5472, 8027.

Detail may not add to total due to rounding.

Forecasting and Service Analysis
Office of Research
Internal Revenue Service
Document 6961, 2009 Update

**Table 4. Projections of Information and Withholding Documents
United States Non-Paper Total: Calendar Years 2009 to 2017**

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Non-Paper (1)	2,031,090,700	2,044,976,200	1,874,428,700	1,920,274,000	1,967,549,800	2,014,429,500	2,060,742,300	2,106,551,100	2,152,176,900	2,197,593,600
W-2	244,122,576	247,069,400	248,425,000	249,985,800	252,239,700	254,493,500	256,747,300	259,001,200	261,255,000	263,508,800
W-2G	10,989,803	13,050,600	13,497,000	14,213,600	14,920,000	15,519,800	16,309,600	16,952,300	17,571,400	18,208,000
K-1 (Form 1041)	744,433	713,500	723,900	748,400	776,600	798,800	819,000	842,100	866,000	890,200
K-1 (Form 1065)	9,948,307	11,139,300	12,092,000	12,854,200	13,540,200	14,157,600	14,713,300	15,213,400	15,663,400	16,068,500
K-1 (Form 1120-S)	1,745,250	2,763,400	3,701,500	4,281,700	4,774,800	5,194,000	5,550,300	5,853,100	6,125,700	6,371,000
Foreign	1,200,329	1,217,300	1,234,300	1,251,300	1,268,300	1,285,200	1,302,200	1,319,200	1,336,200	1,353,200
SSA/RRB-1099	53,351,390	55,166,200	55,957,300	56,748,400	57,539,600	58,330,700	59,121,800	59,913,000	60,704,100	61,495,300
1096	0	0	0	0	0	0	0	0	0	0
1042-S	3,450,956	3,541,500	3,631,600	3,724,200	3,819,200	3,916,800	4,017,000	4,119,800	4,225,500	4,333,900
1098	102,232,259	105,038,300	108,114,200	111,246,800	114,396,700	117,545,100	120,686,500	123,823,100	126,958,500	130,093,700
1098-C	134,070	148,200	162,100	175,200	188,000	199,500	209,500	217,800	224,100	230,600
1098-E	20,203,441	20,917,600	22,300,100	23,731,500	25,110,800	26,488,500	27,814,500	29,189,400	30,562,800	31,798,900
1098-T	24,767,393	25,229,700	25,687,800	26,142,900	26,594,600	27,043,600	27,490,900	27,936,300	28,378,400	28,779,200
1099-A	868,971	1,852,200	661,100	699,300	737,500	775,700	814,000	852,300	890,500	928,800
1099-B *	868,976,086	875,880,600	702,530,100	733,179,600	763,829,000	794,478,500	825,128,000	855,777,500	886,427,000	917,076,400
1099-C	1,949,474	2,478,000	2,640,200	2,723,800	2,836,500	2,960,200	3,085,200	3,207,300	3,330,800	3,450,200
1099-CAP	703	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000
1099-DIV	101,630,369	98,323,800	98,332,000	98,191,700	98,007,700	97,829,800	97,672,500	97,529,500	97,391,600	97,255,800
1099-G	70,356,034	67,068,800	67,463,300	68,050,800	68,683,900	69,292,800	69,858,500	70,390,900	70,903,900	71,402,800
1099-H	22,368	21,000	20,300	20,200	20,300	20,400	20,600	20,700	20,900	21,000
1099-INT	255,581,337	243,862,400	232,281,900	231,024,800	230,588,900	230,206,100	229,646,600	228,993,500	228,376,100	227,831,600
1099-LTC	196,528	206,600	217,400	227,200	236,000	243,800	250,900	257,300	263,100	268,300
1099-MISC	52,595,300	52,703,600	52,524,000	52,988,700	53,589,900	54,261,300	54,903,700	55,567,700	56,211,300	56,844,600
1099-OID	2,969,270	2,382,500	2,089,100	1,881,600	1,735,100	1,631,500	1,558,300	1,506,700	1,470,200	1,444,600
1099-PATR	1,643,462	1,701,900	1,749,300	1,792,800	1,832,600	1,869,000	1,902,400	1,933,100	1,961,300	1,987,200
1099-Q	1,284,925	1,518,300	1,704,900	1,871,900	2,021,300	2,154,800	2,274,300	2,381,200	2,476,700	2,562,200
1099-R	78,464,372	85,018,100	86,584,000	88,563,800	90,672,300	92,773,400	94,827,300	96,850,200	98,866,400	100,883,500
1099-S	2,751,276	2,323,600	2,171,100	2,239,900	2,427,400	2,632,700	2,737,000	2,814,000	2,879,200	2,962,300
1099-SA	1,593,048	2,244,500	2,830,800	3,358,500	3,833,500	4,260,900	4,645,600	4,991,800	5,303,400	5,583,800
5498	114,326,628	117,616,300	120,565,200	123,163,900	125,527,500	127,715,600	129,793,200	131,812,400	133,852,100	135,961,100
5498-ESA	813,420	784,200	763,800	749,200	738,000	730,200	723,800	718,300	712,700	707,400
5498-SA	2,176,922	2,993,700	3,772,100	4,441,100	5,063,200	5,618,600	6,117,300	6,565,200	6,967,500	7,289,700
Currency Transaction Reports (2)	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total CTR, Non-Paper	12,315,092	13,410,100	13,755,700	14,049,500	14,305,400	14,538,400	14,757,600	14,968,000	15,172,700	15,374,700
FinCEN 104 (formerly 4789)	12,253,726	13,269,000	13,575,000	13,826,600	14,049,300	14,257,000	14,456,600	14,652,100	14,845,300	15,037,300
8300	0	0	0	0	0	0	0	0	0	0
FinCEN 103	61,366	141,100	180,600	222,900	256,000	281,500	301,000	315,900	327,500	337,400

Notes:

(1) Total Non-Paper includes magnetic tape filing, electronic filing, and diskette filing.

(2) Currency Transaction Report volumes are listed separately and not included in Total Non-Paper.

Table does not contain counts for Forms 5471, 5472, 8027.

* For CY09, the estimate may not include all Form 1099-B volume, traditionally part of the processing system, due to extremely high reportings.

Detail may not add to total due to rounding.

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**Table 5A. Projections of Information and Withholding Documents
Filed on Paper at the Austin IRS Campus: Calendar Years 2009 to 2017**

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Paper	22,238,564	21,702,300	21,339,000	21,194,500	21,105,000	21,046,700	20,988,900	20,944,900	20,902,900	20,862,400
W-2	0	0	0	0	0	0	0	0	0	0
W-2G	47,051	54,800	55,700	57,800	59,800	61,400	63,800	65,600	67,300	69,000
K-1 (Form 1041)	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1065)	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1120-S)	0	0	0	0	0	0	0	0	0	0
Foreign	0	0	0	0	0	0	0	0	0	0
SSA/RRB-1099	0	0	0	0	0	0	0	0	0	0
1096	2,825,946	2,846,000	2,866,100	2,886,200	2,906,300	2,926,400	2,946,500	2,966,600	2,986,600	3,006,700
1042-S	0	0	0	0	0	0	0	0	0	0
1098	397,104	384,400	372,400	360,800	349,800	339,200	329,000	319,200	309,700	300,600
1098-C	12,032	12,300	12,600	12,800	12,900	13,100	13,200	13,300	13,500	13,600
1098-E	5,801	4,900	4,400	3,900	3,700	3,600	3,500	3,500	3,500	3,500
1098-T	120,501	110,100	102,000	95,200	90,000	86,100	82,800	80,300	79,300	76,900
1099-A	2,399	2,400	2,400	2,400	2,300	2,300	2,200	2,100	2,000	1,900
1099-B	35,269	33,800	32,500	31,100	29,800	28,400	27,100	25,700	24,400	23,000
1099-C	23,401	27,400	26,900	25,500	24,600	23,500	22,600	21,700	20,900	20,000
1099-CAP	506	400	400	400	400	400	400	400	400	400
1099-DIV	224,997	219,800	214,800	210,100	205,600	201,300	197,200	193,200	189,400	185,700
1099-G	42,778	42,300	41,900	41,400	41,000	40,700	40,300	39,900	39,600	39,300
1099-H	1	0	0	0	0	0	0	0	0	0
1099-INT	466,734	440,300	415,100	391,000	367,900	345,800	324,500	304,100	284,400	265,400
1099-LTC	611	600	600	600	600	600	600	600	600	600
1099-MISC	17,232,176	16,741,900	16,444,200	16,360,600	16,327,000	16,321,100	16,312,000	16,314,200	16,314,900	16,316,700
1099-OID	4,275	3,900	3,800	3,600	3,500	3,400	3,200	3,100	2,900	2,800
1099-PATR	16,616	16,000	15,800	15,500	15,200	15,000	14,700	14,400	14,100	13,900
1099-Q	350	400	400	400	400	400	400	400	400	400
1099-R	323,031	299,700	277,600	256,600	236,600	217,400	199,100	181,500	164,600	148,400
1099-S	446,984	451,600	440,900	430,200	419,500	408,800	398,100	387,400	376,700	366,000
1099-SA	1,110	1,100	1,100	1,100	1,100	1,100	1,100	1,100	1,100	1,100
5498	7,026	6,200	5,700	5,300	5,100	5,000	4,900	4,900	4,800	4,800
5498-ESA	200	200	200	200	200	200	200	200	200	200
5498-SA	1,665	1,700	1,700	1,700	1,700	1,700	1,700	1,700	1,700	1,700

Notes:

Projected detail may not add to total due to rounding.

Table does not contain counts for Forms 5471, 5472, 8027.

Forecasting and Service Analysis

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**Table 5B. Projections of Information and Withholding Documents
Filed on Paper at the Cincinnati IRS Campus: Calendar Years 2009 to 2017**

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Paper	7,008,094	6,856,300	6,475,200	6,287,800	6,139,100	6,024,600	5,923,000	5,832,200	5,744,600	5,663,900
W-2	0	0	0	0	0	0	0	0	0	0
W-2G	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1041)	1,169,706	1,167,700	1,166,600	1,164,000	1,161,000	1,158,800	1,156,800	1,154,500	1,152,300	1,150,000
K-1 (Form 1065)	3,541,201	3,523,500	3,446,300	3,407,700	3,369,900	3,333,400	3,298,100	3,264,400	3,232,100	3,201,500
K-1 (Form 1120-S)	2,297,187	2,165,100	1,862,400	1,716,200	1,608,200	1,532,400	1,468,100	1,413,300	1,360,200	1,312,400
Foreign	0	0	0	0	0	0	0	0	0	0
SSA/RRB-1099	0	0	0	0	0	0	0	0	0	0
1096	0	0	0	0	0	0	0	0	0	0
1042-S	0	0	0	0	0	0	0	0	0	0
1098	0	0	0	0	0	0	0	0	0	0
1098-C	0	0	0	0	0	0	0	0	0	0
1098-E	0	0	0	0	0	0	0	0	0	0
1098-T	0	0	0	0	0	0	0	0	0	0
1099-A	0	0	0	0	0	0	0	0	0	0
1099-B	0	0	0	0	0	0	0	0	0	0
1099-C	0	0	0	0	0	0	0	0	0	0
1099-CAP	0	0	0	0	0	0	0	0	0	0
1099-DIV	0	0	0	0	0	0	0	0	0	0
1099-G	0	0	0	0	0	0	0	0	0	0
1099-H	0	0	0	0	0	0	0	0	0	0
1099-INT	0	0	0	0	0	0	0	0	0	0
1099-LTC	0	0	0	0	0	0	0	0	0	0
1099-MISC	0	0	0	0	0	0	0	0	0	0
1099-OID	0	0	0	0	0	0	0	0	0	0
1099-PATR	0	0	0	0	0	0	0	0	0	0
1099-Q	0	0	0	0	0	0	0	0	0	0
1099-R	0	0	0	0	0	0	0	0	0	0
1099-S	0	0	0	0	0	0	0	0	0	0
1099-SA	0	0	0	0	0	0	0	0	0	0
5498	0	0	0	0	0	0	0	0	0	0
5498-ESA	0	0	0	0	0	0	0	0	0	0
5498-SA	0	0	0	0	0	0	0	0	0	0

Notes:

Projected detail may not add to total due to rounding.

Table does not contain counts for Forms 5471, 5472, 8027.

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Table 5C. Projections of Information and Withholding Documents Filed on Paper at the <u>Kansas City</u> IRS Campus: Calendar Years 2009 to 2017										
Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Paper	20,198,107	19,707,200	19,382,600	19,257,000	19,180,900	19,133,100	19,085,800	19,051,200	19,018,200	18,986,800
W-2	0	0	0	0	0	0	0	0	0	0
W-2G	36,680	42,700	43,500	45,100	46,600	47,900	49,700	51,100	52,400	53,800
K-1 (Form 1041)	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1065)	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1120-S)	0	0	0	0	0	0	0	0	0	0
Foreign	0	0	0	0	0	0	0	0	0	0
SSA/RRB-1099	0	0	0	0	0	0	0	0	0	0
1096	2,786,464	2,806,300	2,826,100	2,845,900	2,865,700	2,885,500	2,905,300	2,925,100	2,944,900	2,964,700
1042-S	0	0	0	0	0	0	0	0	0	0
1098	226,281	219,100	212,200	205,600	199,300	193,300	187,500	181,900	176,500	171,300
1098-C	33,101	33,800	34,500	35,300	35,600	36,000	36,300	36,700	37,100	37,400
1098-E	4,140	3,500	3,200	2,800	2,600	2,600	2,500	2,500	2,500	2,500
1098-T	102,936	94,100	87,100	81,400	76,900	73,500	70,700	68,600	67,800	65,700
1099-A	1,711	1,700	1,700	1,700	1,700	1,600	1,500	1,500	1,400	1,300
1099-B	26,831	25,700	24,700	23,700	22,600	21,600	20,600	19,600	18,500	17,500
1099-C	14,160	16,600	16,300	15,400	14,900	14,200	13,700	13,200	12,600	12,100
1099-CAP	435	300	300	300	300	300	300	300	300	300
1099-DIV	207,039	202,300	197,700	193,400	189,200	185,200	181,400	177,800	174,200	170,800
1099-G	6,106	6,000	6,000	5,900	5,900	5,800	5,700	5,700	5,600	5,600
1099-H	19	0	0	0	0	0	0	0	0	0
1099-INT	566,687	534,600	504,000	474,700	446,700	419,900	394,100	369,200	345,300	322,200
1099-LTC	503	500	500	500	500	500	500	500	500	500
1099-MISC	15,657,665	15,212,200	14,941,700	14,865,700	14,835,200	14,829,800	14,821,600	14,823,600	14,824,200	14,825,800
1099-OID	4,219	3,900	3,700	3,600	3,500	3,300	3,200	3,000	2,900	2,800
1099-PATR	28,197	27,200	26,800	26,300	25,900	25,400	24,900	24,500	24,000	23,500
1099-Q	205	200	200	200	200	200	200	200	200	200
1099-R	266,034	246,900	228,700	211,300	194,800	179,100	164,000	149,500	135,600	122,200
1099-S	216,554	218,800	213,600	208,400	203,200	198,100	192,900	187,700	182,500	177,300
1099-SA	792	800	800	800	800	800	800	800	800	800
5498	9,804	8,600	7,900	7,400	7,200	7,000	6,900	6,800	6,800	6,700
5498-ESA	94	100	100	100	100	100	100	100	100	100
5498-SA	1,450	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500	1,500

Notes:

Projected detail may not add to total due to rounding.

Table does not contain counts for Forms 5471, 5472, 8027.

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**Table 5D. Projections of Information and Withholding Documents
Filed on Paper at the Ogden IRS Campus: Calendar Years 2009 to 2017**

Form	Actual	Projected								
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Total, Paper	10,836,823	10,635,000	10,111,200	9,856,200	9,651,600	9,491,600	9,349,100	9,221,400	9,098,700	8,985,900
W-2	0	0	0	0	0	0	0	0	0	0
W-2G	0	0	0	0	0	0	0	0	0	0
K-1 (Form 1041)	1,349,592	1,347,300	1,346,000	1,343,000	1,339,600	1,337,000	1,334,700	1,332,100	1,329,500	1,326,900
K-1 (Form 1065)	6,335,846	6,304,200	6,166,000	6,096,900	6,029,400	5,964,000	5,900,900	5,840,500	5,782,900	5,728,100
K-1 (Form 1120-S)	2,955,307	2,785,400	2,395,900	2,207,900	2,068,900	1,971,400	1,888,600	1,818,200	1,749,900	1,688,400
Foreign	0	0	0	0	0	0	0	0	0	0
SSA/RRB-1099	0	0	0	0	0	0	0	0	0	0
1096	0	0	0	0	0	0	0	0	0	0
1042-S	196,078	198,200	203,200	208,400	213,700	219,200	224,800	230,600	236,500	242,600
1098	0	0	0	0	0	0	0	0	0	0
1098-C	0	0	0	0	0	0	0	0	0	0
1098-E	0	0	0	0	0	0	0	0	0	0
1098-T	0	0	0	0	0	0	0	0	0	0
1099-A	0	0	0	0	0	0	0	0	0	0
1099-B	0	0	0	0	0	0	0	0	0	0
1099-C	0	0	0	0	0	0	0	0	0	0
1099-CAP	0	0	0	0	0	0	0	0	0	0
1099-DIV	0	0	0	0	0	0	0	0	0	0
1099-G	0	0	0	0	0	0	0	0	0	0
1099-H	0	0	0	0	0	0	0	0	0	0
1099-INT	0	0	0	0	0	0	0	0	0	0
1099-LTC	0	0	0	0	0	0	0	0	0	0
1099-MISC	0	0	0	0	0	0	0	0	0	0
1099-OID	0	0	0	0	0	0	0	0	0	0
1099-PATR	0	0	0	0	0	0	0	0	0	0
1099-Q	0	0	0	0	0	0	0	0	0	0
1099-R	0	0	0	0	0	0	0	0	0	0
1099-S	0	0	0	0	0	0	0	0	0	0
1099-SA	0	0	0	0	0	0	0	0	0	0
5498	0	0	0	0	0	0	0	0	0	0
5498-ESA	0	0	0	0	0	0	0	0	0	0
5498-SA	0	0	0	0	0	0	0	0	0	0

Notes:

Projected detail may not add to total due to rounding.

Table does not contain counts for Forms 5471, 5472, 8027.

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Table 6. Configuration of IRS Campuses for Paper Schedules K-1 and International (Form 1042-S)				
Calendar Year 2009 and Beyond				
Cincinnati		Ogden		
Connecticut	Ohio	Alabama	Minnesota	Utah
Delaware	Pennsylvania	Alaska	Mississippi	Washington
District of Columbia	Rhode Island	Arizona	Missouri	Wyoming
Illinois	South Carolina	Arkansas	Montana	
Indiana	Vermont	California	Nebraska	International
Kentucky	Virginia	Colorado	Nevada	
Maine	West Virginia	Florida	New Mexico	
Maryland	Wisconsin	Georgia	North Dakota	
Massachusetts		Hawaii	Oklahoma	
Michigan		Idaho	Oregon	
New Hampshire		Iowa	South Dakota	
New Jersey		Kansas	Tennessee	
New York		Louisiana	Texas	
North Carolina				

Table 7. Configuration of IRS Campuses for Most Paper Information & Withholding Documents (Exclusive of Schedules K-1 and International)			
Calendar Year 2009 and Beyond			
Austin		Kansas City	
Alabama	New Hampshire	Alaska	Nebraska
Arizona	New Jersey	California	Nevada
Arkansas	New Mexico	Colorado	North Dakota
Connecticut	New York	District of Columbia	Oklahoma
Delaware	North Carolina	Hawaii	Oregon
Florida	Ohio	Idaho	South Carolina
Georgia	Pennsylvania	Illinois	South Dakota
Kentucky	Rhode Island	Indiana	Tennessee
Louisiana	Texas	Iowa	Utah
Maine	Vermont	Kansas	Washington
Massachusetts	Virginia	Maryland	Wisconsin
Mississippi	West Virginia	Michigan	Wyoming
		Minnesota	
		Missouri	
		Montana	

Definitions and Notes
Information and Withholding Documents
Projected in Document 6961

Form W-2, Wage and Tax Statement is filed by employers for each employee on the payroll, to report wages, tips, other compensation, withheld income taxes, social security and Medicare taxes, and advance earned income credit payments. W-2 documents are received from the Social Security Administration (SSA) on magnetic tape; approximately 22 percent of the W-2s are submitted to SSA on paper.

Form W-2G, Certain Gambling Winnings is provided to recipients of gambling winnings of \$600 or more from horse racing, dog racing, jai alai, lotteries, sweepstakes, and drawings; gambling winnings of \$1,200 or more from bingo or slot machines; gambling winnings of \$1,500 or more from keno; and winnings in excess of \$5,000 from a poker tournament. The amount of winnings may be reduced by the wager depending on the gambling activity.

Schedule K1- Form 1041, Beneficiary's Share of Income, Credits, Deductions, etc. is filed with Form 1041 to report the beneficiary's share of income from an estate or trust.

Schedule K1- Form 1065, Partner's Share of Income, Credits, Deductions, etc. is used by filers of Form 1065 to report each partner's share of the partnership's income, credits, deductions, etc.

Schedule K1- Form 1120-S, Shareholder's Share of Income, Credits, Deductions, etc. is used by filers of Form 1120-S to report each shareholder's pro rata share of corporate income (less taxes the corporation paid on income), credits, deductions, etc.

Foreign Information Returns are filed by foreign corporations that pay interest or dividend income to U.S. citizens. There is no standard format for transmitting such information to the Internal Revenue Service.

Form 1096, Annual Summary and Transmittal of U.S. Information Returns, is a "payer" transmittal document used to transmit paper "payee" documents.

Form SSA-1099 (Social Security Benefit Statement)/Form RRB-1099 (Payments by the Railroad Retirement Board), are filed by the Social Security Administration and the Railroad Retirement Board to show the amount of retirement payments made to individual recipients. These documents are received by the IRS from the Social Security Administration (SSA) on magnetic tape.

Form 1042-S, Foreign Person's U.S. Source Income Subject to Withholding, is filed for amounts paid to foreign persons that are subject to withholding, even if no amount

is withheld or deducted because of a treaty or Code exception to taxation or if any amount withheld was repaid to the payee. Amounts subject to withholding are from sources within the U.S. that constitute either fixed or determinable annual or periodical (FDAP) income; certain gains from the disposal of timber, coal, or domestic iron ore with a retained economic interest; or gains relating to contingent payments received from the sale or exchange of patents, copyrights, and similar intangible property.

Form 1098, Mortgage Interest Statement is filed to report mortgage interest (including reportable points) of \$600 or more received in a trade or business from an individual, including a sole proprietor.

Form 1098-C, Contributions of Motor Vehicles, Boats and Airplanes, filed by charitable organizations which receive donations of such items made by taxpayers.

Form 1098-E, Student Loan Interest Statement, is to be filed by financial institutions, governmental units, educational institutions, or any other persons who receive (from an individual) student loan interest of \$600 or more during the year.

Form 1098-T, Tuition Statement, is to be filed by educational institutions that received (from an individual) qualified tuition and related expenses in a given year.

Form 1099-A, Acquisition or Abandonment of Secured Property, is filed for each borrower if money is loaned in connection with a trade or business and, in full or partial satisfaction of the debt, an interest in property is acquired that is security for the debt, or the property has been abandoned.

Form 1099-B, Proceeds from Broker and Barter Exchange Transactions, is provided to each person for whom a broker has sold stocks, bonds, commodities, regulated futures contracts, foreign currency contracts, forward contracts, debt instruments, etc., or who has exchanged property or services through a barter exchange. Also, certain sales proceeds of widely held fixed investment trusts (WHFITs) must now be reported on Form 1099-B.

Form 1099-C, Cancellation of Debt, is filed by certain financial institutions and federal government agencies to report certain debts of \$600 or more that are forgiven. This form **is not** required in situations involving bankruptcies unless the debts were incurred for business or investment purposes.

Form 1099-CAP, Changes in Corporate Control and Capital Structure, for shareholders of a corporation if control of the corporation was acquired or it underwent a substantial change in capital structure. Form 1099-CAP is furnished to shareholders who receive cash, stock, or other property from an acquisition of control or a substantial change in capital structure.

Form 1099-DIV, Dividends and Distributions, is provided to each person who: received \$10 or more in gross dividends and other stock distributions; had withheld and

paid foreign tax on dividends; had withheld income under the backup withholding rules or received \$600 or more as part of a liquidation.

Form 1099-G, Certain Government Payments is filed when certain payments are made by a unit of federal, state, or local government, such as payments of \$10 or more in unemployment compensation including Railroad Retirement Board payments for unemployment; refunds, credits, or offsets of state or local income tax of \$10 or more; federal income tax withheld (backup withholding); and certain taxable grants.

Form 1099-H, Health Coverage Tax Credit (HCTC) Advance Payments, is filed if HCTC advance payments of health coverage insurance premiums were forwarded directly to health insurance providers on behalf of recipients meeting certain eligibility requirements.

Form 1099-INT, Interest Income, is provided to each person who received \$10 or more in interest income; had withheld and paid foreign tax on interest; or had withheld income under the backup withholding rules.

Form 1099-LTC, Long-Term Care and Accelerated Death Benefits, is filed if payments are made for any long-term care benefits, including accelerated death benefits. Payers include insurance companies, governmental units, and viatical settlement providers.

Form 1099-MISC, Miscellaneous Income, is provided to each person who: received at least \$10 in royalties or broker payments in lieu of dividends or tax-exempt interest; received at least \$600 in rents, services, prizes and awards, other income payments, and medical and health care payments; or received any fishing boat proceeds. In addition, Form 1099-MISC is also used to report the direct sales of at least \$5,000 of consumer products to a buyer for resale. Persons with backup withholdings should also receive a copy of the form regardless of the amount of payment.

Form 1099-OID, Original Issue Discount, is provided among others by issuers of outstanding bonds or other evidence of indebtedness in registered or bearer form issued with original issue discount of at least \$10; issuers of some certificates of deposits of over one year; certain financial institutions having other deposit arrangements such as time deposits or bonus savings plans with a term in excess of one year; issuers of collateralized debt obligation; by real estate mortgage investment conduits (REMICs); by trustees or middlemen of widely held fixed investment trusts (WHFITs) and widely held mortgage trusts (WHMTs). It is also filed if there are backup withholdings, even though the amount of the original issue discount is less than \$10.

Form 1099-PATR, Taxable Distributions Received from Cooperatives, must be filed by cooperatives for each person to whom the cooperative has paid at least \$10 in patronage dividends and other distributions or for backup withholdings regardless of the amount of payment.

Form 1099-Q, Payments from Qualified Education Programs (Under Sections 529 and 530), is a result of legislation which expanded the definition of a qualified tuition program to include programs established and maintained by private eligible educational institutions. Reporting of earnings from qualified state tuition programs (as described in the Internal Revenue Code, Section 529) was moved from Box 5 of the Form 1099-G to Form 1099-Q.

Form 1099-R, Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc., is provided to each person who has received distributions from profit-sharing or retirement plans, IRAs, annuities, pensions, etc., whether or not any amount of Federal income tax was withheld.

Form 1099-S, Proceeds from Real Estate Transactions, is filed to report the sale or exchange of reportable real estate.

Form 1099-SA, Distributions from an HSA, Archer MSA or Medicare Advantage MSA, to report distributions made from an Health Savings Account (HSA), Archer Medical Savings Account (MSA), or Medicare Advantage MSA (MA MSA). The distribution may have been paid directly to a medical service provider or to the account holder. A separate return must be filed for each plan type.

Form 5498, IRA Contribution Information, is filed for each person who had an individual retirement arrangement to report contributions and the fair market value of the account.

Form 5498-ESA, Coverdell ESA Contribution Information, reports contributions (including rollovers) to a Coverdell ESA (Education Savings Account).

Form 5498-SA, HSA, Archer MSA or Medicare Advantage MSA Information, is submitted by the trustee or custodian of an Health Savings Account (HSA), Archer Medical Savings Account (MSA) or Medicare Advantage MSA (MA MSA). Rollovers from one Archer MSA to another Archer MSA, and receipt of a rollover from an Archer MSA or an HSA to an HSA must be reported.

FinCEN 104, (formerly Form 4789), Currency Transaction Report (CTR), is filed by most financial institutions to report currency transactions in excess of \$10,000.

Form 8300, Report of Cash Payments Over \$10,000 Received in a Trade or Business, is a currency transaction report filed by a person engaged in a trade or business who receives more than \$10,000 in cash in one transaction or in two or more related transactions during the course of that trade or business.

FinCEN 103, Currency Transaction Report (CTR) by Casinos, is filed by casinos or card clubs to report any currency transaction of more than \$10,000 in a gaming day provided the casino or card club has gross annual gaming revenues in excess of \$1 million.

Statement of Methodology

Three major sets of projections are included in this document. The first group in Table 2 contains all media grand total projections for withholding and other Information Reporting Program (IRP) documents received by the IRS. Table 3 contains the second set of projections, which includes the paper portion. The third group, found in Table 4, includes the non-paper portion (magnetic tape, electronic, and diskette filing) of the projections. All of these projections are at the U.S. level. In compliance with customer requests, Tables 5 A-D present projections of all paper documents, except Currency Transaction Reports (CTRs), for IRS processing campuses. CTRs are all processed by the Detroit Computing Center.

Data Sources and 2008 Actual (Historical) Paper Volumes

Actual (historical) data on the volume of IRP documents filed are provided by program staff under the operating divisions and the Information Technology Services area. Data sources include: MCC (Martinsburg Computing Center) IRP (Information Returns Processing) Reformat Report (405-02-12), IRMF (Information Returns Master File) File Report (417-91-40), CAWR (Combined Annual Wage Reporting) W-2 Control Report, IRP Counts for 1099-SSA/RRB, Paper IRP Production Report from SCRIPS (Service Center Recognition Image Processing System), other campus sources, and the national calendar year Currency Transaction Report receipts. Counts for non-paper Form 1042-S were provided by relevant program staff. Paper counts of Foreign Information Return Program (FIRP) are no longer available as funding for the processing of paper FIRP is no longer provided. Non-paper counts of Foreign Information Returns were provided by operating division program staff.

U.S. Level Forecasts

The U.S. level grand total (all media) projections were forecasted through a combination of time series extrapolations and regression analysis. Each form type was forecasted separately. In some instances, regression models were developed using appropriate economic/demographic variables. In other instances, forecasts for grand total volumes were built from historical time trends. Time trend models were used to forecast the paper IRP document volumes, again by form type. Projections of most IRP non-paper documents were then derived by subtracting projections of the IRP paper documents from the grand total projections.

For Forms 1041 K-1, 1120-S K-1, 1099-H and 1099-Q the non-paper portions were first modeled, and paper obtained by subtracting non-paper from the grand total. Moreover, for Forms 1096 and FinCEN Form 8300, paper is the only accepted transmittal format. Therefore, for these form types, the grand total and paper volumes are the same.

Campus Level Forecasts and Impact of Modernization Alignment

The Service Center Recognition Image Processing System (SCRIPS) enables IRS campuses to optically scan most paper IRP documents. As a result, the IRS has consolidated the filing/processing of most of these forms into two designated "SCRIPS" sites beginning CY 2007. Most paper documents will be processed at the Austin and Kansas City campuses. The paper Schedule K-1 series documents will be handled exclusively by the Cincinnati and Ogden campuses. Paper forms submitted from the International area will also be processed in Ogden.

The campus level forecasts were derived based on their relative shares of the U.S. totals in CY 2008 by applicable form type. These shares were held constant throughout the forecast horizon through 2017. In addition, all paper Currency Transaction Reports (CTRs) continue to be handled at the Detroit Computing Center. Thus, campus level forecasts are not applicable for CTRs.

Table 6 reflects the current processing campus alignment of states/international for paper Schedules K-1 and Form 1042-S. Table 7 shows the current alignment of states by processing campus for all other paper documents. Paper Forms 1098 and 1099 are being processed at the Austin and Kansas City service centers from January 2007. The campus level tables will change to reflect any future re-alignments.

OTHER PROJECTION DOCUMENTS

Title	IRS Document Number	Typical Updates
Calendar Year Return Projections by State	6149	Winter
Calendar Year Return Projections for the United States and IRS Campuses	6186	Fall
Calendar Year Projections of Individual Returns by Major Processing Categories	6187	Spring and Fall
Fiscal Year Return Projections for the United States	6292	Spring and Fall

These documents may be requested by calling Andre Palmer at (202) 874-0588, by fax on (202) 874-0660, or by writing to:

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